

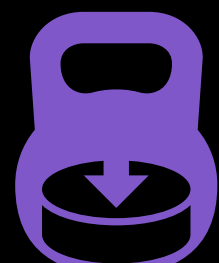
HOLISTIC SURVEILLANCE. COMPLETE COMPLIANCE.



**BEYOND EXECUTION:
A FOCUS ON INTENT**

Recent scandals have proven that bad behavior starts long before a trade is executed.

REGULATORS EXPECT A PREDICTIVE, PREVENTATIVE APPROACH TO COMPLIANCE WHILE COMPLIANCE OFFICERS ARE CHALLENGED BY:



Complex Markets & Trades

Record Keeping Requirements & a Focus on Intent

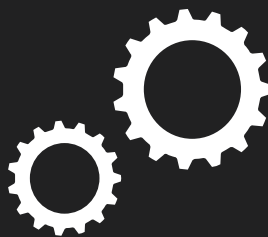
Overwhelming Amounts of Data & Systems Trying to Keep Up

A HOLISTIC VIEW PUTS THE PIECES TOGETHER:



AGGREGATE

Transactional, market, and communications data



ANALYZE

Integrated data with industry leading, out-of-the-box detection models



ACT

Full trade reconstruction, market visualization, workflows, & investigative tools

Across assets, instruments, communications, markets & regulatory jurisdictions. Globally.

NICE ACTIMIZE HOLISTIC SURVEILLANCE EXPOSES THE TRUE INTENT BEHIND MARKET EVENTS